


22A 03C 0

LYON & LYON LLP FILING RECORD

Attorney Docket No.: 254/304
Today's Date: September 18, 2000
Attorney: Suet M. Chong
Fee Transmitted Herewith: None
Serial No.: 09/596,073
Filing Date: June 16, 2000
Title:  **BIOADHESIVE PROGRESSIVE HYDRATION TABLETS**
Inventor(s): William J. Bologna et al.

DOCUMENTS BEING FILED:

THE PTO STAMP HEREON ACKNOWLEDGES RECEIPT OF:

- (1) Information Disclosure Statement Under 37 CFR § 1.97(b)(3)
- (2) Form PTO-1449 (5 pages)

Filed by: 

LYON & LYON LLP
1701 Pennsylvania Avenue NW, Suite 1040
Washington, DC 20006
Telephone: (202) 331-3600
Facsimile: (202) 331-3301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

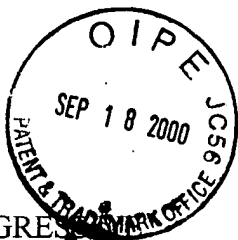
In re the Application of:

William J. Bologna et al.

Serial No.: 09/596,073

Filed: June 16, 2000

For: BIOADHESIVE PROGRESS
HYDRATION TABLETS



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)(3)

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449. Copies of all the items are not required to be provided because they were previously cited by or submitted to the Office in prior U.S. Patent Application No. 09/379,310 filed August 23, 1999.

The items identified in this IDS may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicant as such.

DC-2732.1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being hand delivered on the date shown below to the Commissioner for Patents, Washington, D.C. 20231.

September 18, 2000
Date of Delivery

Micheal A. Smith

Name of Person Delivering Paper

Signature of Person Delivering Paper

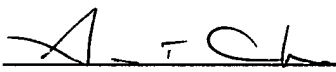
This IDS is believed to be timely in that it is being submitted under 37 C.F.R. § 1.97(b)(3), that is before the mailing of a first Office action on the merits. Thus, no petition or fee is required. However, if the undersigned is in error in this regard, then it is requested that the Office consider this IDS as filed under 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any fees required by the filing of these papers to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: September 18, 2000

By: 
Suet M. Chong
Reg. No. 38,104

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. Docket NO. 254/304	SERIAL NO. 09/596,073
	APPLICANT: William J. Bologna et al.	
	FILING DATE: June 16, 2000	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	4,389,393	6/21/83	Schor et al.			
	AB	4,540,566	9/10/85	Davis et al.			
	AC	4,596,795	6/24/86	Pitha			
	AD	4,615,697	10/7/86	Robinson			
	AE	4,764,378	8/16/88	Keith et al.			
	AF	4,900,552	2/13/90	Sanvordeker et al.			
	AG	5,102,666	4/7/92	Acharya			
	AH	5,110,605	5/5/92	Acharya			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AI	06-100466	4/12/94	Japan (Abstract)			
	AJ	0 159 604 A2	10/30/85	Europe			
	AK	0 654 261 A	5/29/95	Europe			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	Voorspoels et al., "Buccal Absorption of Testosterone and its Esters Using a Bioadhesive Tablet in Dogs," <i>Pharmaceutical Research</i> , Vol. 13, No. 8, 1996; pp. 1228-1232.
	AM	Kim et al., <i>Contraception</i> , 1995, 52, pp. 314-316.
	AN	Dobs et al., <i>JCE&M</i> 1998, Vol. 83, No. 1, pp. 34-39.
	AO	Pitha et al., "Hydrophilic Cyclodextrin Derivatives Enable Effective Oral Administration of Steroidal Hormones," <i>Journal of Pharmaceutical Sciences</i> , Vol. 75, No. 2, February 1986, pp. 165 and 167.
	AP	PCT International Search Report for PCT/US99/19260, dated 2/10/2000.

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 254/304	SERIAL NO. 09/596,073
	APPLICANT: William J. Bologna et al.	
	FILING DATE: June 16, 2000	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,554,380	9/10/96	Cuca et al.			
	AB	5,624,677	4/29/97	El-Rashidy et al.			
	AC	5,650,192	7/22/97	Britton et al.			
	AD	5,656,284	8/12/97	Balkin			
	AE	5,686,094	11/11/97	Acharya			
	AF	5,750,134	5/12/98	Scholz et al.			
	AG	5,750,136	5/12/98	Scholz et al.			
	AH	5,780,045	7/14/98	McQuinn et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AI	0 488 139 A	6/3/92	Europe			
	AJ	0 273 209A		Europe			
	AK						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	Conway et al., "Randomized clinical trial of testosterone replacement therapy in hypogonadal men," <i>Int'l J. of Andrology</i> , 1988, 11, pages 247-264.
	AM	Written Opinion (PCT Rule 66) related to International Application No. PCT/US99/19260, dated June 9, 2000.
	AN	
	AO	
	AP	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; . Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. Docket NO. 254/304	SERIAL NO. 09/596,073
	APPLICANT: William J. Bologna et al.	
	FILING DATE: June 16, 2000	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,783,212	7/21/98	Fassihi et al.			
	AB	5,800,832	9/1/98	Tapolsky et al.			
	AC	5,804,209	9/8/98	De Ponti et al.			
	AD	5,807,575	9/15/98	Dumoulin et al.			
	AE	5,853,762	12/29/98	Myers et al.			
	AF	5,855,905	1/5/99	Oettel et al.			
	AG	3,133,862	5/19/64	Wershaw et al.			
	AH	4,226,848	10/7/80	Nagai et al.			

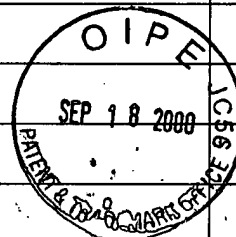
FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
	AI							
	AJ							
	AK							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	
	AM	
	AN	
	AO	
	AP	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449	ATTY. D. [REDACTED] ET NO. 254/304	SERIAL NO. 09/596,073
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: William J. Bologna et al.	
	FILING DATE: June 16, 2000	GROUP: Not Yet Assigned
(Use several sheets if necessary)		

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	4,572,832	2/25/86	Kigasawa et al.			
	AB	4,740,365	4/26/88	Yukimatsu et al.			
	AC	4,915,948	4/10/90	Gallopo et al.			
	AD	5,252,334	10/12/93	Chiang et al.			
	AE	5,298,017	3/29/94	Theeuwes et al.			
	AF	5,362,498	11/8/94	Aiache			
	AG	5,766,620	6/16/98	Heiber et al.			
	AH	5,783,208	7/21/98	Venkateshwaran et al.			



FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
	AI						YES	NO
	AJ							
	AK							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	
	AM	
	AN	
	AO	
	AP	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. Docket NO. 254/304	SERIAL NO. 09/596,073
	APPLICANT: William J. Bologna et al.	
	FILING DATE: June 16, 2000	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,958,458	9/1999	Norling et al.			
	AB	6,017,521	9/1999	Robinson et al.			
	AC	5,458,884	10/17/95	Britton et al.			
	AD	5,460,820	10/24/95	Ebert et al.			
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
	AI						YES	NO
	AJ							
	AK							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	
	AM	
	AN	
	AO	
	AP	

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	